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| APPLICATION NO.             | FILING DATE                        | FIRST NAMED INVENTOR | ATTORNEY DOCKET NO. | CONFIRMATION NO. |
|-----------------------------|------------------------------------|----------------------|---------------------|------------------|
| 10/589,823                  | 05/15/2007                         | Armin Schneider      | 4266-0126PUS1       | 2434             |
|                             | 7590 07/28/200<br>ART KOLASCH & BI | EXAMINER             |                     |                  |
| PO BOX 747                  | OH MA 22040 0747                   | PITRAK, JENNIFER S   |                     |                  |
| FALLS CHURCH, VA 22040-0747 |                                    |                      | ART UNIT            | PAPER NUMBER     |
|                             |                                    |                      | 1635                |                  |
|                             |                                    |                      |                     |                  |
|                             |                                    |                      | NOTIFICATION DATE   | DELIVERY MODE    |
|                             |                                    |                      | 07/28/2008          | ELECTRONIC       |

## Please find below and/or attached an Office communication concerning this application or proceeding.

The time period for reply, if any, is set in the attached communication.

Notice of the Office communication was sent electronically on above-indicated "Notification Date" to the following e-mail address(es):

mailroom@bskb.com

|                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                   | Application No.                                                                                                                                                | Applicant(s)                                                            |  |  |  |  |
|-------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|----------------------------------------------------------------------------------------------------------------------------------------------------------------|-------------------------------------------------------------------------|--|--|--|--|
| Office Action Comments                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                            | 10/589,823                                                                                                                                                     | SCHNEIDER ET AL.                                                        |  |  |  |  |
| Office Action Summary                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                             | Examiner                                                                                                                                                       | Art Unit                                                                |  |  |  |  |
|                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                   | JENNIFER PITRAK                                                                                                                                                | 1635                                                                    |  |  |  |  |
| The MAILING DATE of this communication app<br>Period for Reply                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                    | ears on the cover sheet with the c                                                                                                                             | orrespondence address                                                   |  |  |  |  |
| A SHORTENED STATUTORY PERIOD FOR REPLY WHICHEVER IS LONGER, FROM THE MAILING DATE - Extensions of time may be available under the provisions of 37 CFR 1.13 after SIX (6) MONTHS from the mailing date of this communication.  If NO period for reply is specified above, the maximum statutory period was realized to reply within the set or extended period for reply will, by statute, Any reply received by the Office later than three months after the mailing earned patent term adjustment. See 37 CFR 1,704(b).                                                                                         | ATE OF THIS COMMUNICATION 6(a). In no event, however, may a reply be timil apply and will expire SIX (6) MONTHS from cause the application to become ABANDONEI | Lely filed the mailing date of this communication. O (35 U.S.C. § 133). |  |  |  |  |
| Status                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                            |                                                                                                                                                                |                                                                         |  |  |  |  |
| 1) Responsive to communication(s) filed on 17 Au                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                  | iaust 2006.                                                                                                                                                    |                                                                         |  |  |  |  |
| ·                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                 | action is non-final.                                                                                                                                           |                                                                         |  |  |  |  |
| <i>i</i> —                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                        | <del>/</del>                                                                                                                                                   |                                                                         |  |  |  |  |
| ,                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                 | closed in accordance with the practice under <i>Ex parte Quayle</i> , 1935 C.D. 11, 453 O.G. 213.                                                              |                                                                         |  |  |  |  |
| Disposition of Claims                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                             | , , , , , , , , , , , , , , , , , , ,                                                                                                                          |                                                                         |  |  |  |  |
|                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                   |                                                                                                                                                                |                                                                         |  |  |  |  |
|                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                   | Claim(s) <u>17-31</u> is/are pending in the application.                                                                                                       |                                                                         |  |  |  |  |
| 4a) Of the above claim(s) is/are withdrawn from consideration.                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                    |                                                                                                                                                                |                                                                         |  |  |  |  |
| 5) Claim(s) is/are allowed.                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                       |                                                                                                                                                                |                                                                         |  |  |  |  |
| 6) Claim(s) is/are rejected.                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                      |                                                                                                                                                                |                                                                         |  |  |  |  |
| 7) Claim(s) is/are objected to.                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                   |                                                                                                                                                                |                                                                         |  |  |  |  |
| 8)⊠ Claim(s) <u>17-31</u> are subject to restriction and/or                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                       | election requirement.                                                                                                                                          |                                                                         |  |  |  |  |
| Application Papers                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                |                                                                                                                                                                |                                                                         |  |  |  |  |
| 9)☐ The specification is objected to by the Examiner.                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                             |                                                                                                                                                                |                                                                         |  |  |  |  |
| 10)☐ The drawing(s) filed on is/are: a)☐ accepted or b)☐ objected to by the Examiner.                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                             |                                                                                                                                                                |                                                                         |  |  |  |  |
| Applicant may not request that any objection to the drawing(s) be held in abeyance. See 37 CFR 1.85(a).                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                           |                                                                                                                                                                |                                                                         |  |  |  |  |
| Replacement drawing sheet(s) including the correction is required if the drawing(s) is objected to. See 37 CFR 1.121(d).                                                                                                                                                                                                                                                                                                                                                                                                                                                                                          |                                                                                                                                                                |                                                                         |  |  |  |  |
| 11) The oath or declaration is objected to by the Examiner. Note the attached Office Action or form PTO-152.                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                      |                                                                                                                                                                |                                                                         |  |  |  |  |
| Priority under 35 U.S.C. § 119                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                    |                                                                                                                                                                |                                                                         |  |  |  |  |
| <ul> <li>12) Acknowledgment is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d) or (f).</li> <li>a) All b) Some * c) None of:</li> <li>1. Certified copies of the priority documents have been received.</li> <li>2. Certified copies of the priority documents have been received in Application No</li> <li>3. Copies of the certified copies of the priority documents have been received in this National Stage application from the International Bureau (PCT Rule 17.2(a)).</li> <li>* See the attached detailed Office action for a list of the certified copies not received.</li> </ul> |                                                                                                                                                                |                                                                         |  |  |  |  |
| Attachment(s)  1) Notice of References Cited (PTO-892)  2) Notice of Draftsperson's Patent Drawing Review (PTO-948)  3) Information Disclosure Statement(s) (PTO/SB/08) Paper No(s)/Mail Date                                                                                                                                                                                                                                                                                                                                                                                                                     | 4) Interview Summary Paper No(s)/Mail Da 5) Notice of Informal Pa                                                                                              | te                                                                      |  |  |  |  |

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## DETAILED ACTION

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## Election/Restrictions

Restriction is required under 35 U.S.C. 121 and 372.

This application contains the following inventions or groups of inventions which are not so linked as to form a single general inventive concept under PCT Rule 13.1.

In accordance with 37 CFR 1.499, applicant is required, in reply to this action, to elect a single invention to which the claims must be restricted.

Group I, claim(s) 17, 18, and 26-30, drawn to a method of treatment or prophylaxis comprising using an antibody to modulate TWEAK.

Group II, claim(s) 17, 18, and 26-30, drawn to a method of treatment or prophylaxis comprising using an antibody to modulate the TWEAK receptor.

Group III, claim(s) 17, 18, and 26-30, drawn to a method of treatment or prophylaxis comprising using an antibody to modulate both TWEAK and the TWEAK receptor.

Group IV, claim(s) 17, 19-21, and 26-30, drawn to a method of treatment or prophylaxis comprising using an antisense RNA or an siRNA to modulate TWEAK.

Group V, claim(s) 17, 19-21, and 26-30, drawn to a method of treatment or prophylaxis comprising using an antisense RNA or an siRNA to modulate the TWEAK receptor.

Group VI, claim(s) 17, 19-21, and 26-30, drawn to a method of treatment or prophylaxis comprising using an antisense RNA or an siRNA to modulate TWEAK and the TWEAK receptor.

Group VII, claim(s) 17, 22, and 26-30, drawn to a method of treatment or prophylaxis comprising using a ribozyme to modulate TWEAK.

Group VIII, claim(s) 17, 23, 24, and 26-30, drawn to a method of treatment or prophylaxis comprising using a TWEAK antagonist.

Group IX, claim(s) 17, and 25-30 drawn to a method of treatment or prophylaxis comprising using a soluble part of the TWEAK receptor.

Group X, claim(s) 31, drawn to a method for identifying a substance.

The inventions listed as Groups I-X do not relate to a single general inventive concept under PCT Rule 13.1 because, under PCT Rule 13.2, they lack the same or corresponding special technical features for the following reasons: the special technical feature, modulators of TWEAK or TWEAK receptors, does not contribute over the prior art as evidenced by Harada, *et al.* (2002, BBRC, v.299:488-93) (abstract).

This application contains claims directed to more than one species of the generic invention. These species are deemed to lack unity of invention because they are not so linked as to form a single general inventive concept under PCT Rule 13.1.

The species are as follows: the neurological diseases and conditions in claims 27-29, which are stroke, intrapartal hypoxia, intraoperative hypoxia, intraoperative ischemia, intraoperative hypoxia and ischemia, intraocular ischemia, intraocular hypoxia, intraocular ischemia and hypoxia, ALS, Parkinson's disease, cerebral ischemia, multiple sclerosis, schizophrenia, depression, Huntington's disease, trinucleotide repeat disorders, peripheral neuropathies, dementias, and CNS trauma.

Applicant is required, in reply to this action, to elect a single species to which the claims shall be restricted if no generic claim is finally held to be allowable. The reply must also identify the claims readable on the elected species, including any claims subsequently added. An argument that a claim is allowable or that all claims are generic is considered non-responsive unless accompanied by an election.

Upon the allowance of a generic claim, applicant will be entitled to consideration of claims to additional species which are written in dependent form or otherwise include all the limitations of an allowed generic claim as provided by 37 CFR 1.141. If claims are added after

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the election, applicant must indicate which are readable upon the elected species. MPEP § 809.02(a).

The following claim(s) are generic: claims 17-26.

The species listed above do not relate to a single general inventive concept under PCT Rule 13.1 because, under PCT Rule 13.2, the species lack the same or corresponding special technical features for the following reasons: each disease or condition has distinct characteristics and requires distinct searches of the prior art.

Applicant is reminded that upon the cancellation of claims to a non-elected invention, the inventorship must be amended in compliance with 37 CFR 1.48(b) if one or more of the currently named inventors is no longer an inventor of at least one claim remaining in the application. Any amendment of inventorship must be accompanied by a request under 37 CFR 1.48(b) and by the fee required under 37 CFR 1.17(i).

Any inquiry concerning this communication or earlier communications from the examiner should be directed to JENNIFER PITRAK whose telephone number is (571)270-3061. The examiner can normally be reached on Monday-Friday, 8:30AM-5:00PM, EST.

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, James (Doug) Schultz can be reached on 571-272-0763. The fax phone number for the organization where this application or proceeding is assigned is 571-273-8300.

Information regarding the status of an application may be obtained from the Patent Application Information Retrieval (PAIR) system. Status information for published applications may be obtained from either Private PAIR or Public PAIR. Status information for unpublished applications is available through Private PAIR only. For more information about the PAIR system, see http://pair-direct.uspto.gov. Should you have questions on access to the Private PAIR system, contact the Electronic Business Center (EBC) at 866-217-9197 (toll-free). If you would like assistance from a USPTO Customer Service Representative or access to the automated information system, call 800-786-9199 (IN USA OR CANADA) or 571-272-1000.

Jennifer Pitrak, PhD Examiner Art Unit 1635

/Richard Schnizer, Ph. D./ Primary Examiner, Art Unit 1635